

Case No. **78319 P1676**

16519 U.S. PTO

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I HEREBY CERTIFY THIS PAPER OR FEE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED BELOW AND IS ADDRESSED TO: MAIL STOP PATENT APPLICATION, COMMISSIONER FOR PATENTS, PO BOX 1450, ALEXANDRIA, VA 22313-1450.

EXPRESS MAIL NO.: **EV 322683401 US**

DATE OF DEPOSIT: **November 24, 2003**

NAME: **Kristen Ferguson**

SIGNATURE: *Kristen Ferguson*

Transmitted herewith for filing is the patent application of:

Inventors: **Siu Kwan CHEUNG, Srinath CHAKRAVARTHY, Karl KISSA**

For: **SLOTTED ELECTRODE ELECTRO-OPTIC MODULATOR**

Please note the following:

- ☒ Patent Application: **11** pages, **14** claims.  
☒ 9 sheets of drawings.  
☒ The suggested drawing figure to be published is FIG. **3**  
☒ A declaration and power of attorney.  
☒ Citation Under 37 CFR 1.97 and PTO-1449.  
☒ Assignee info:

Name: **JDS Uniphase Corporation**  
Address: **1768 Automation Parkway**  
**San Jose, CA 95131**

State of Incorporation: **Delaware**

- [ ] Applicant claims priority benefit to the following foreign application(s):

Country:

Application No.:

Filing Date:

- [X] Applicant claims priority benefit to the following U.S. application(s):

Application No.: **60/445,140**

Filing Date: **February 5, 2003**

The filing fee has been calculated as shown below:

	(Col. 1)		(Col. 2)		SMALL ENTITY			LARGE ENTITY	
FOR:	# FILED		# EXTRA		RATE	FEE		RATE	FEE
BASIC FEE						\$ 385	OR		\$ 770
TOTAL CLAIMS	14	-20	0		X 9	\$	OR	X 18	\$
INDEP CLAIMS	2	- 3	0		X 43	\$	OR	X 86	\$
* If the difference in Col. 1 is less than "0", enter "0" in Col. 2.					TOTAL	\$		TOTAL	\$ 770

- [X] Authorization is given to charge the Filing Fee in the amount of **\$770.00** to Deposit Account **50-1465**.

- [X] Authorization is given to charge the Assignment Recordal Fee in the amount of **\$40.00** to Deposit Account **50-1465**.

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- [X] **PLEASE ADDRESS ALL CORRESPONDENCE TO ATTORNEY OF RECORD:**  
**CHARLES E. WANDS**

- [X] Please associate this application with Customer No. **27975**.

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November 24, 2003



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PATENT TRADEMARK OFFICE

CHARLES E. WANDS  
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17548 U.S. PTO  
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